

**HAND DELIVERED**

**JO ANN EMERSON**  
MEMBER OF CONGRESS  
8TH DISTRICT, MISSOURI

COMMITTEE:  
APPROPRIATIONS

SUBCOMMITTEES:  
AGRICULTURE, RURAL DEVELOPMENT,  
FOOD AND DRUG ADMINISTRATION  
AND RELATED AGENCIES

ENERGY AND WATER

HOMELAND SECURITY

E-Mail and Web Page:  
<http://www.house.gov/emerson>

**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515-2508**

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SUITE 2440  
RAYBURN HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515  
(202) 225-4404

THE FEDERAL BUILDING  
339 BROADWAY  
CAPE GIRARDEAU, MO 63701  
(573) 335-0101

612 PINE  
ROLLA, MO 65401  
(573) 364-2455

22 EAST COLUMBIA  
FARMINGTON, MO 63640  
(731) 756-9755

June 5, 2006

Office of Clerk of the House  
B-106 CHOB  
Washington, DC 20515

*MC*

To Whom It May Concern:

Please note that Block B of Schedule III on my 2005 Financial Disclosure should have read "None" for the following items that were sold last year and had a zero balance.

- 4 Seasons Racquet Club Condominium
- ING Russia Fund SEP
- Royal Dutch Petroleum
- KC Southern Corp. SEP
- Dreyfuss Premiere Japan Fund SEP

If you have any questions, please contact me at 202-225-4404.

Sincerely,

*Jo Ann Emerson*  
JO ANN EMERSON  
Member of Congress

LEGISLATIVE RESOURCE CENTER  
2006 JUN -6 PM 5:45  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

JAE/ae

**UNITED STATES HOUSE OF REPRESENTATIVES  
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005**

**FORM A**  
For use by Members, officers, and employees

Jo Ann Emerson  
(Full Name)  
1637 Themis Street Cape Girardeau, MO 63701  
(Mailing Address)

202-225-4404  
Daytime Telephone:

**HAND DELIVERED!**  
LEGISLATIVE RESOURCE CENTER  
2005 MAY 12 PM 2:52  
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**Filer Status**  
 Member of the U.S. House of Representative  
 State: MO District: 8  
 Officer Or Employee  
 Employing Office:  
 Termination Date:

**Report Type**  
 Annual (May 15)  Amendment  Termination

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

**I.** Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?  
 If yes, complete and attach Schedule I. Yes  No

**II.** Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  
 If yes, complete and attach Schedule II. Yes  No

**III.** Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  
 If yes, complete and attach Schedule III. Yes  No

**IV.** Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?  
 If yes, complete and attach Schedule IV. Yes  No

**V.** Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  
 If yes, complete and attach Schedule V. Yes  No

**VI.** Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)?  
 If yes, complete and attach Schedule VI. Yes  No

**VII.** Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)?  
 If yes, complete and attach Schedule VII. Yes  No

**VIII.** Did you hold any reportable positions on or before the date of filing in the current calendar year?  
 If yes, complete and attach Schedule VIII. Yes  No

**IX.** Did you have any reportable agreement or arrangement with an outside entity?  
 If yes, complete and attach Schedule IX. Yes  No

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

**Trusts--** Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?  
 Yes  No

**Exemptions--** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?  
 Yes  No

**CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

**Certification**  
 I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: *Jo Ann Emerson*  
 Date (Month, Day, Year): 5/11/06

**SCHEDULE I - EARNED INCOME**

Name Jo Ann Emerson

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source                 | Type          | Amount |
|------------------------|---------------|--------|
| Bartley Goffstein, LLC | Spouse Salary | N/A    |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jo Ann Emerson

| BLOCK A  |  | BLOCK B  | BLOCK C  | BLOCK D  | BLOCK E   |
|--|--|--|--|--|---|
| Asset and/or Income Source   |  | Year-End Value of Asset  | Type of Income   | Amount of Income   | Transaction   |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p> |  | <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)</p> | <p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.</p> | <p>Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.</p> |
| JT   | Lucent Technologies, SEP   | \$1,001 - \$15,000   | DIVIDENDS  | \$1 - \$200  |   |
| JT   | Avaya  | \$1 - \$1,000  | DIVIDENDS  | NONE   |   |
| SP   | 7720 Building Corp. (Law Firm Building)<br>4399 Laclede<br>St. Louis, MO 63108             | \$100,001 - \$250,000  | None   | NONE   |   |
| SP   | Bank of Salem SEP  | \$50,001 - \$100,000   | None   | NONE   |   |
| SP   | 4 Seasons Racquet Club Condominium Lodge, Raquet and Country Club<br>Osage Beach, MO 65065 | \$100,001 - \$250,000  | RENT   | \$2,501 - \$5,000  | S   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jo Ann Emerson

| SP | Asset Description   | Value Range           | Other: Partnership Value | Income Type     | SP |
|----|---|-----------------------|--------------------------|-----------------|----|
| SP | Bartley Goffstein, LLC (1/6 partner)<br>4399 Laclede<br>St. Louis, MO 63108 | \$250,001 - \$500,000 |                          | NONE            |    |
| SP | Pershing Money Market Fund  | \$1,001 - \$15,000    | INTEREST                 | \$1 - \$200     |    |
| SP | First Commercial Bank of Southeast Missouri (CD)                            | \$1,001 - \$15,000    | INTEREST                 | \$1 - \$200     |    |
| SP | Business Bank of St. Louis SEP  | \$50,001 - \$100,000  | None                     | NONE            |    |
| SP | ING Russia Fund SEP   | \$1,001 - \$15,000    | None                     | NONE            | S  |
| SP | Royal Dutch Petroleum SEP   | \$1,001 - \$15,000    | DIVIDENDS                | \$1 - \$200     | S  |
| SP | KC Southern Corp. SEP   | \$1,001 - \$15,000    | DIVIDENDS                | \$1 - \$200     | S  |
| SP | Sirius Communications   | \$1,001 - \$15,000    | None                     | NONE            |    |
| SP | Marsh & McLennan  | \$1,001 - \$15,000    | DIVIDENDS                | \$1 - \$200     |    |
| SP | Dreyfuss Premiere Japan Fund SEP  | \$1,001 - \$15,000    | None                     | NONE            | S  |
| SP | Great Plains Energy   | \$1,001 - \$15,000    | DIVIDENDS                | \$1 - \$200     | P  |
| SP | Chile Fund  | \$1,001 - \$15,000    | DIVIDENDS                | \$201 - \$1,000 | P  |
| SP | Masisa Fund   | \$1,001 - \$15,000    | None                     | NONE            | P  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jo Ann Emerson

| SP |                 |                       |           |             |
|----|-----------------|-----------------------|-----------|-------------|
|    | Fosters Brewery | \$1,001 -<br>\$15,000 | DIVIDENDS | \$1 - \$200 |
|    |                 |                       |           | P           |

# SCHEDULE IV - TRANSACTIONS

Name Jo Ann Emerson

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Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

| SP, DC, JT | Asset   | Type of Transaction | Date  | Amount of Transaction |
|------------|---|---------------------|-------|-----------------------|
| SP         | Great Plains Energy   | P                   | 1-05  | \$1,001 - \$15,000    |
| SP         | Chile Fund  | P                   | 10-05 | \$1,001 - \$15,000    |
| SP         | Masisa Fund   | P                   | 10-05 | \$1,001 - \$15,000    |
| SP         | Fosters Brewery   | P                   | 4-05  | \$1,001 - \$15,000    |
| SP         | 4 Seasons Racquet Club Condominium Lodge, Raquet and Country Club Osage Beach, MO 65065 | S                   | 12-05 | \$50,001 - \$100,000  |
| SP         | Dreyfuss Premiere Japan Fund  | S                   | 1-05  | \$1,001 - \$15,000    |
| SP         | ING Russia Fund SEP   | S                   | 6-05  | \$1,001 - \$15,000    |
| SP         | Royal Dutch Petroleum SEP   | S                   | 6-05  | \$1,001 - \$15,000    |
| SP         | KC Southern Corp. SEP   | S                   | 6-05  | \$1,001 - \$15,000    |

# SCHEDULE V - LIABILITIES

Name Jo Ann Emerson

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP,<br>DC,<br>JT | Creditor                       | Type of Liability                       | Amount of Liability  |
|------------------|--------------------------------|---|----------------------|
| SP               | Bank of Salem                  | Line of Credit                          | \$50,001 - \$100,000 |
| SP               | Enterprise Bank, St. Louis, MO | Mortgage on office building (25% share) | \$50,001 - \$100,000 |

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name Jo Ann Emerson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source                | Date(s)     | Point of Departure--<br>Destination--Point of Return | Lodging?<br>(Y/N) | Food?<br>(Y/N) | Was a Family<br>Member Included?<br>(Y/N) | Days not at<br>sponsor's<br>expense |
|-----------------------|-------------|--|-------------------|----------------|---|-------------------------------------|
| America's Trust, Inc. | April 15-17 | Wash., DC--Napa, CA--<br>Wash., DC                   | Y                 | Y              | Y   | N/A                                 |

**SCHEDULE VIII - POSITIONS**

Name Jo Ann Emerson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position                     | Name of Organization   |
|------------------------------|--|
| Member, Advisory Committee   | Aneson Institute for Practical Politics and Public Affairs, Ohio Wesleyan University, Delaware, OH |
| Chair                        | Bill Emerson Group Charities, Inc., Alexandria, VA (unpaid)  |
| Honorary & Lifetime Trustee  | Westminster College, Fulton, MO  |
| Co-Chair, Board of Directors | Congressional Hunger Center  |
| Board Member                 | Bread for the World  |