

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Brown	Sherrod C.	2006	Office of Senator Sherrod Brown
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed
455 Russell SOB, Washington, DC 20510	202-224-2315		U.S. House of Representatives

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$305 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <b>FIRST</b> Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**


**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

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Do Not Write Below this Line

RECEIVED  
SECRETARY OF THE SENATE

MAY 21 PM 3:55

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/21/07

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It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)
AK		

**PART II. EARNED AND NON-INVESTMENT INCOME**

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)		Type of Income	Amount	
Example:	JP Computers	Wash., DC	Example	Salary	Example	\$15,000
	MCI (Spouse)	Arlington, VA	Example	Salary	Example	Over \$1,000
1	The Plain Dealer (Spouse)	Cleveland, OH		Salary		
2	Self-Employed Author (Random Hosue) (Spouse)	New York, NY		Book		Over \$1,000
3	The Virginia Pilot (Spouse)	Norfolk, VA		Speaking engagement & judge contest		
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**PART IX. AGREEMENTS OR ARRANGEMENTS**

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	<i>Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i>	<i>Jones &amp; Smith, Hometown, USA</i> <b>Example</b>	<i>1 / 83</i>
	<i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options</i>	<i>XYZ Co., Bethesda, MD</i> <b>Example</b>	<i>1 / 0X</i>
1	Agreement with New Press on Myths of Free Trade book to donate all royalties to two charities, Cleveland Jobs with Justice and RESULTS.	New Press, New York, NY	10/00
2	Book agreement with Kent State University Press, will receive 10% of net income from sale of book "Congress from the Inside"	Kent State University Press, Kent, OH	12/97
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## United States Senate

SECRETARY OF THE SENATE

SELECT COMMITTEE ON ETHICS  
HART SENATE OFFICE BUILDING, ROOM 20  
SECOND AND CONSTITUTION AVENUE, NE  
WASHINGTON, DC 20510-6425  
97 MAY 16 PM 4:01

May 15, 2007

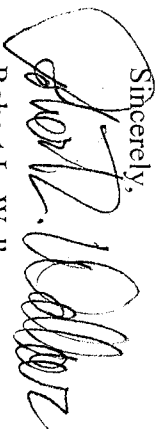
The Honorable Sherrod Brown  
United States Senate  
Washington DC, 20510

Dear Senator Brown:

This is in response to your request for an extension of time for filing your annual public financial disclosure report. Your form was originally due on May 15, 2007. Pursuant to section 101(g) of the Ethics in Government Act of 1978, as amended, the Committee grants you a 15 day extension, and your form will therefore be due no later than May 30, 2007. Section 101(g) also provides that the total of all extensions granted to an individual may not exceed ninety days.

Please note that section 104(d)(1) of the Act provides that any individual who files a report more than 30 days after the last day of the filing extension period shall pay a filing fee of \$200.

Sincerely,



Robert L. Walker  
Chief Counsel  
and Staff Director

cc: Secretary of the Senate