

2007 MAY 15 PM 5:24

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

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For use by Members, officers, and employees

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Rahm I Emanuel
(Full Name)
4228 North Hermitage Avenue Chicago, IL 60613
(Mailing Address) 773-267-5926
Daytime Telephone:

AND DELIVERED (9)
MC
(Office Use Only)

Filer Status: Member of the U.S. House of Representatives State: IL District: 5
 Officer Or Employee
Employing Office:
Report Type: Annual (May 15) Amendment Termination
Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS


I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes No
If yes, complete and attach Schedule I.
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No
If yes, complete and attach Schedule II.
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes No
If yes, complete and attach Schedule III.
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes No
If yes, complete and attach Schedule IV.
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes No
If yes, complete and attach Schedule V.
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? Yes No
If yes, complete and attach Schedule VI.
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? Yes No
If yes, complete and attach Schedule VII.
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes No
If yes, complete and attach Schedule VIII.
IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No
If yes, complete and attach Schedule IX.
Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification Signature of Reporting Individual Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.  5-15-07

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Rahm I Emanuel

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Maher Live, Inc.	guest panelist	April 21, 2006	\$800 (donated to Chicago Food Depository)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name **Rahm I Emanuel**

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<p align="center">BLOCK A</p> <p align="center">Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p align="center">BLOCK B</p> <p align="center">Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p align="center">BLOCK C</p> <p align="center">Type of Income</p> <p>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)</p>	<p align="center">BLOCK D</p> <p align="center">Amount of Income</p> <p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.</p>	<p align="center">BLOCK E</p> <p align="center">Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
	<p align="center">\$5,000,001 - \$25,000,000</p>	<p align="center">DIVIDENDS/INTE REST/CAPITAL GAINS</p>	<p align="center">\$100,001 - \$1,000,000</p>	
<p align="center">DC</p>	<p align="center">\$500,001 - \$1,000,000</p>	<p align="center">DIVIDENDS/INTE REST/CAPITAL GAINS</p>	<p align="center">\$50,001 - \$100,000</p>	
<p align="center">DC</p>	<p align="center">\$500,001 - \$1,000,000</p>	<p align="center">DIVIDENDS/INTE REST/CAPITAL GAINS</p>	<p align="center">\$50,001 - \$100,000</p>	
<p align="center">DC</p>	<p align="center">\$500,001 - \$1,000,000</p>	<p align="center">DIVIDENDS/INTE REST/CAPITAL GAINS</p>	<p align="center">\$50,001 - \$100,000</p>	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rahm I Emanuel

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Good Hope Limited Partnership (holds commercial real estate located at 7830 W Goodhope Rd., Milwaukee, Wi)	\$15,001 - \$50,000	RENT	\$2,501 - \$5,000	1
Advisory Research Small Mid Cap Value Equity Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago; Invests in widely diversified small cap equity holdings)	\$500,001 - \$1,000,000	DIVIDENDS/CAPI TAL GAINS	\$100,001 - \$1,000,000	1
Advisory Research Large Cap Equity Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago: Invests in widely diversified large cap equity holdings)	\$250,001 - \$500,000	DIVIDENDS/CAPI TAL GAINS	\$15,001 - \$50,000	1
S & P 500 Index Equally Weighted Fund, LP (2 Prudential Plaza, 180 N Stetson, Chicago; Invests equal amounts in each of S&P 500 equities)	\$500,001 - \$1,000,000	DIVIDENDS/CAPI TAL GAINS	\$50,001 - \$100,000	1
Chilton New Era Partners, LP (1266 E Main St., Stanford, Ct; Invests in widely diversified S&P 500 equities)	\$500,001 - \$1,000,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$50,001 - \$100,000	1
LaSalle Bank Trustee, Managed IRA (Not self directed)	\$500,001 - \$1,000,000	DIVIDENDS/INTE REST/CAPITAL GAINS	\$15,001 - \$50,000	
Loan Receivable--Friends of Rahm Emanuel for Congress	\$250,001 - \$500,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rahm I Emanuel

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	Vision Optical Partners, LLC (CBIZ Accounting & Tax Advisory Svcs, 1 S Wacker Dr, #1800, Chicago, IL; Invests in widely diversified debt and equity holdings)	\$1,001 - \$15,000	CAPITAL GAINS/INTERES T	\$1 - \$200	1
SP	Bear Stearns MMA	None	DIVIDENDS	\$1 - \$200	E
SP	Bear Stearns MMA-IRA	None	DIVIDENDS	\$1 - \$200	
SP	Wal Mart Stores, Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Unumprovident Corp Senior Notes--IRA	\$1,001 - \$15,000	INTEREST	\$201 - \$1,000	
SP	General Electric	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Health Management Associates--IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	LaSalle Bank	\$1,001 - \$15,000	INTEREST	NONE	
SP	S F Investments--MMA	\$1,001 - \$15,000	INTEREST	\$1 - \$200	E
SP	SF Investments-MMA--IRA	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	Comcast Corp--IRA	\$1,001 - \$15,000		NONE	P
SP	Gentex Corp--IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
JT	LaSalle Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name Rahm I Emanuel

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Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	S F Investments--MMA	E	8/31/06	\$1,001 - \$15,000
SP	Comcast Corp--IRA	P	8/28/06	\$1,001 - \$15,000
SP	Gentex Corp--IRA	P	7/24/06	\$1,001 - \$15,000
SP	Bear Stearns MMA (transferred to SF Investments)	E	8/31/06	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

Name Rahm I Emanuel

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Rahm Emanuel & Amy Rule Charitable Trust

SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
March 10, 2006	Rahm Emanuel and Bruce Reed--co authors and Public Affairs, LLC--publisher	copyright royalties based on a percentage of sales of book--"The Plan-Big Ideas for America" (All royalties to be donated to charity--no royalties received in 2006)
October 4, 2005	Rahm Emanuel and Bruce Reed--co authors and Janklow & Nesbit Associates--Literary Agents	compensation of 15% of gross proceeds from all royalties and other consideration received from above book--"The Plan-Big Ideas for America"

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	All items with a "1" reference in block E are held by LaSalle Bank in a non-qualified blind trust	