UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 9:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

James David Matheson
(Full Name)

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(Mailing Address)

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HAND DELIVERED
MC
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives — State: UTAH District: 2	☐ Officer or Employee — Employing Office:	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	☒	☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	☐	☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	☒	☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	☒	☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	☐	☒
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	☐	☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	☐	☒
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	☐	☒
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	☐	☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	☐	☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date *(Month, Day, Year)*
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Matheson	5-13-07

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	University of Utah	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X								X										X								P
	Simon & Schuster	Indefinite																		Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Alpine Dynamic Dividend Fund			X										X							X											P
	Baron Partners Mutual Fund			X										X							X											P
	Coin Collection			X										X							X											
	Fidelity Asset Manager 50%				X										X			X							X							P-Reinvest and P
	Fidelity Balanced Fund				X										X			X							X							P-Reinvest S
	Fidelity Cash Reserves				X												X							X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Equitable Variable Life																															
	Insurance Mutual Funds																															
	(1) AXA Moderate Plus Allocation					X									X								X									P-Reinvest Dividends
	(2) AXA Moderate Allocation				X										X								X									P-Reinvest Dividends
	Fidelity Export & Multinational				X										X			X				X										P-Reinvest Dividends
	Fidelity Freedom Fund 2035			X										~~X~~	X						~~X~~		X									P and Reinvest
	Fidelity Low Priced Stock				X										X			X							X							P-Dividends Reinvest S
	Fidelity Spartan Muni Income			X											X		~~X~~	X						X								P-Dividend Reinvest
	Fidelity Spartan US Equity Fund					X									X			X							X							P-Reinvest Div and P
	Fidelity Unique 2015 Portfolio					X								X							X											P
	Fidelity Value Discovery Fund			X										X							X											P
	Northeast Investors Trust			X											X									X								P-Div. Reinvest S
	Qualcomm Stock					X									X								X									P-Div. Reinvest
	TIAA-CREF Lifecycle 2035				X									X							X											P
	TIAA-CREF Real Estate				X									X							X											P
	T Rowe Price Small Cap Stock			X											X			X						X								P-Div. Reinvest S
	Vanguard Emerging Markets Index				X										X								X									P-Div Reinvest P
	Vanguard Small Cap Stock Index			X											X							X										P-Div Reinvest S
	Vanguard Total Stock Mkt. Index			X											X								X									P-Div Reinvest S
	Vanguard Wellesley Income Fund			X											X			X						X								P-Div. Reinvest S
	Wasatch Micro-Cap Fund					X									X			X								X						P-Div Reinvest S
	Zions Bank Checking Account			X													X						X									

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SCHEDULE IV — TRANSACTIONS

Name	Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Alpine Dynamic Dividend Fund	X			12-22-06	X									
	Baldor Electric Stock		X		12-15-06	X									
	Baron Partners Mutual Fund	X			12-22-06	X									
	Fidelity Advisor Growth Opportunity Fund		X		12-15-06	X									
	Fidelity Asset Manager 50%	X			Semi-Monthly Payroll Deduct	X									
	Fidelity Asset Manager 50%	X			Various-Cap. Gain and Dividend Reinvestment	X									
	Fidelity Asset Manager 50%		X		12-19-06	X									
	Fidelity Balanced Fund	X			Various-Cap. Gain and Dividend Reinvestment	X									
	Fidelity Balanced Fund		X		12-15-06	X									
	Fidelity Balanced Fund	X			12-22-06	X									
	Fidelity Export and Multinational Fund	X			Semi-Monthly Payroll Deduct	X									
	Fidelity Freedom Fund 2035	X			12-19-06	X									
	Fidelity Low Priced Stock Fund	X			Various - Cap. Gain and Dividend Reinvestment	X									

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SCHEDULE IV — TRANSACTIONS

Name	Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Fidelity Low Priced Stock Fund		X		12-26-06	X									
	Fidelity Spartan U.S. Equity Fund	X			Semi-Monthly Payroll Deduct.	X									
	Fidelity Spartan U.S. Equity Fund	X			Various Cap. gain and Dividend Reinvestment	X									
	Fidelity Spartan U.S. Equity Fund		X		12-19-06	X									
	Fidelity Unique 2015 Portfolio	X			12-28-06		X								
	Fidelity Value Discovery Fund	X			12-19-06	X									
	Northeast Investors Trust	X			Quarterly Dividend Reinvest	X									
	Northeast Investors Trust		X		12-26-06	X									
	Seligmann Communications + Information Fund		X		12-15-06		X								
	TIAA-CREF Growth Fund	X			Semi-Monthly Payroll Deduct	X									
	TIAA-CREF Growth Fund Exchange into Funds Below			X	12-19-06			X							
	TIAA-CREF Lifecycle 2035 (Growth Fund exchanged into this)			X	12-19-06		X								
	TIAA-CREF Real Estate (Growth Fund exchanged into this)			X	12-19-06	X									
	TIAA-CREF Real Estate	X			Semi-Monthly Payroll Deduct	X									
	T. Rowe Price Small Cap Stock Fund		X		12-19-06	X									

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SCHEDULE IV — TRANSACTIONS

Name	Page 7 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Vanguard Emerging Markets Index			X	12-19-06	X									
	-Received proceeds from exchanges from the three funds listed next:														
	(1) Vanguard Small Cap Stock Index			X	12-19-06	X									
	(2) Vanguard Total Stock Market Index			X	12-19-06	X									
	(3) Vanguard Wellesley Income Fund			X	12-19-06	X									
	Vanguard Wellesley Income Fund	X			Various Cap gain and Dividend Reinvestment	X									
	Wasatch Micro-Cap Fund	X			Monthly	X									
	Wasatch Micro-Cap Fund		X		12-15-06	X									
	Wasatch Micro-Cap Fund	X			12-28-06 Cap gain Reinvest	X									

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