# UNITED STATES HOUSE OF REPRESENTATIVES
## CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

**FORM A**
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 2:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Tim Holden
(Full Name)

(202)225-5546
(Daytime Telephone)

(Office Use Only)

| | | | |
|---|---|---|---|
| **Filer Status** | ☑ Member of the U.S. House of Representatives — State: PA, District: 17 | ☐ Officer Or Employee | Employing Office: |
| **Report Type** | ☑ Annual (May 15) | ☐ Amendment | ☐ Termination — Termination Date: |

**A $200 penalty shall be assessed against anyone who files more than 30 days late.**

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| I. | Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I. | ☑ | ☐ |
| II. | Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. | ☐ | ☑ |
| III. | Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III. | ☑ | ☐ |
| IV. | Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV. | ☑ | ☐ |
| V. | *Did you, your spouse, or a dependent child have any reportable liability (more* than $10,000) during the reporting period? If yes, complete and attach Schedule V. | ☐ | ☑ |
| VI. | Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI. | ☐ | ☑ |
| VII. | Did you, your spouse, or a dependent child receive any reportable travel or *reimbursements for travel in the reporting period (worth more than $335* from one source)? If yes, complete and attach Schedule VII. | ☐ | ☑ |
| VIII. | Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. | ☐ | ☑ |
| IX. | Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. | ☐ | ☑ |

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

| | | Yes | No |
|---|---|---|---|
| **Trusts--** | Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? | ☐ | ☑ |
| **Exemptions--** | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child *because they meet all three tests for exemption?* Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | ☐ | ☑ |

## SCHEDULE I - EARNED INCOME

Name Tim Holden



**List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.**

| Source | Type | Amount |
|---|---|---|
| County of Schuylkill | Spouse Salary | $45,816.58 |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden



| | BLOCK A | BLOCK B | BLOCK C | BLOCK D | BLOCK E |
|---|---|---|---|---|---|
| | **Asset and/or Income Source**<br>Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset *in the account that exceeds the reporting threshold.* For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.<br><br>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.<br><br>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. | **Year-End Value of Asset**<br>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | **Type of Income**<br>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets *including all* IRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. *Check "None" if asset did* not generate any income during the calendar year. | **Amount of Income**<br>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all *IRAs, indicate the category* of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was *earned or generated.* | **Transaction**<br>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in *reporting year.* |
| | Capitol One Money Market | $1,001 - $15,000 | INTEREST | $1 - $200 | |
| | Drefyess Money Market Fund | $1 - $1,000 | INTEREST | $1 - $200 | |
| | Ishares Dow Jones US Aerospace&Defense Index FD | $1,001 - $15,000 | Dividends | $1 - $200 | P |
| | Ishares Dow Jones US Medical Devices Index Fund | None | DIVIDENDS/CAPITAL GAINS | $1 - $200 | PS |
| | Ishares NASDAQ Biotechnology Index FD | $1,001 - $15,000 | CAPITAL GAINS | $1 - $200 | P |
| JT | M &T Bank, One South Centre, Pottsville, PA 17901 | $1,001 - $15,000 | INTEREST | $1 - $200 | |

## SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden



| | | | | | |
|---|---|---|---|---|---|
| | PA Tax Free Fund, Insured Long Term Bond | $15,001 - $50,000 | Other: (Tax free income) | $201 - $1,000 | |
| SP | Schuylkill County Employees Retirement System | $50,001 - $100,000 | NA | NONE | |
| | Schuylkill Federal Employees Credit Union | $1 - $1,000 | DIVIDENDS | $1 - $200 | |
| | Susquehanna Bancshares Inc | $1 - $1,000 | DIVIDENDS | $1 - $200 | |
| JT | Van Guard Energy Fund | $50,001 - $100,000 | DIVIDENDS/CAPITAL GAINS | $1,001 - $2,500 | |
| JT | Van Guard High Yield Corporate Fund | None | DIVIDENDS | $2,501 - $5,000 | S |
| | Vanguard BD Index FD Inc Intermediate Term BD ETF | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | Vanguard Bond Index Funds Short Term Bond ETF | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | Vanguard Consumer Staples | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | Vanguard Energy ETF | None | none | NONE | S |
| | Vanguard Growth | $1 - $1,000 | DIVIDENDS | $1 - $200 | P |
| | Vanguard Industrials ETF | None | none | NONE | S |
| | Vanguard Intl Equity Index FD Vanguard Emerging Mkts Vipers | None | DIVIDENDS | $201 - $1,000 | PS |
| | Vanguard Large Cap ETF | None | DIVIDENDS | $1 - $200 | PS |

| | | | | | |
|---|---|---|---|---|---|
| | Vanguard Mid-cap Growth Index | None | INTEREST | $1 - $200 | PS |
| | Vanguard Small Cap ETF | None | DIVIDENDS | $1 - $200 | PS |
| | Vanguard Utilities | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | P |
| | VIST Financial Corp (formely Leesport Financial Corp_ | $1 - $1,000 | DIVIDENDS | $201 - $1,000 | |
| JT | VMMR Prime Money Market Fund | $100,001 - $250,000 | DIVIDENDS | $1,001 - $2,500 | |
| JT | Windsor II Stocks | $15,001 - $50,000 | DIVIDENDS/CAPI TAL GAINS | $1,001 - $2,500 | Other (transferred to Vanguard Prime Money Market |
| | Wisdom TR INTL Utilities Sector FD | $1 - $1,000 | DIVIDENDS | $1 - $200 | P |
| | WisdomeTree TR INTL Industrial FD | None | DIVIDENDS | $1,001 - $2,500 | PS |
| | WisdomTree DIEFA High Yield | $1,001 - $15,000 | DIVIDENDS | $1 - $200 | |
| | WisdomTree TR INTL Basic Materials Sector FD | None | DIVIDENDS | $1,001 - $2,500 | PS |
| | WisdomTree TR INTL Healthcare Sector FD | None | DIVIDENDS | $1 - $200 | PS |

# SCHEDULE IV - TRANSACTIONS

Name Tim Holden



**Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.**

| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| | Ishares Dow Jones US Medical Devices Index Fund | S | 12-31-08 | $1,001 - $15,000 |
| | Ishares Dow Jones US Medical Devices Index Fund | P | 11-24-08 | $1,001 - $15,000 |
| | Ishares NASDAQ Biotechnology Undex FD | P | 10-23-08<br>11-24-08 | $1,001 - $15,000 |
| | Vanguard BD Index FD Inc<br>Intermediate Term BD ETF | P | 12-31-08 | $1,001 - $15,000 |
| | Vanguard Bond Index Funds<br>Short Term Bond ETF | P | 10-23-08<br>11-24-08 | $1,001 - $15,000 |
| | Vanguard Consumer Staples | P | 11-24-08 | $1,001 - $15,000 |
| | Vanguard Energy ETD | S | 10-23-08 | $1,001 - $15,000 |
| | Vanguard Growth ETF | P | 01-0208<br>07-18-08<br>11-24-08 | $1,001 - $15,000 |
| | Vanguard High Yield Corporate Fund | S | 09-24-8 | $50,001 - $100,000 |
| | Vanguard Industrials ETF | S | 11-24-08 | $1,001 - $15,000 |
| | Vanguard INTL Equity Index FD<br>Vanguard Emerging Mkts ETF | P | 04-01-08 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| | Vanguard INTL Equity Index FD<br>Vanguard Emerging Mkts ETF | S | 10-23-08 | less than $1000 |
| | Vanguard Large CAP ETF | S | 10-23-08 | $1,001 - $15,000 |
| | Vanguard Large Cap ETF | P | 04-01-08 | $1,001 - $15,000 |
| | Vanguard Mid-cap growth index | P | 08-13-08<br>11-24-08 | $1,001 - $15,000 |
| | Vanguard Mid-cap Growth Index | S | 12-31-08 | $1,001 - $15,000 |
| | Vanguard Small Cap ETF | S | 11-24-08 | $1,001 - $15,000 |
| | Vanguard Small Cap ETF | P | 08-13-08 | $1,001 - $15,000 |
| | Vanguard Utilities ETF | P | 04-01-08<br>11-24-08 | $1,001 - $15,000 |
| | Windors II | Other | 05-14-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL Basic Materails Sector FD | P | 04-01-08<br>08-13-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL Basic Materials Sector FD | P | 04-01-08<br>08-13-08 | $1,001 - $15,000 |

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| SP, DC, JT | Asset | Type of Transaction | Date | Amount of Transaction |
|---|---|---|---|---|
| | WisdomTree TR INTL Healthcare Sector FD | P | 10-23-08<br>11-24-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL Healthcare Sector FD | S | 12-31-08 | less than $1000 |
| | WIsdomTree TR INTL Industrial Sector FD | P | 04-01-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL Industrial Sector FD | S | 10-21-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL INTL Basic Materials Sector FD | S | 10-23-08 | $1,001 - $15,000 |
| | WisdomTree TR INTL Utilities Sector FD | P | 04-01-08<br>08-13-08 | $1,001 - $15,000 |