

**HAND DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 20  
For use by Members, officers, and employees

Ronald J. Klein  
(Full Name)

561-544-6910  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2009 MAY 14 PM 3:08

MC

(Office Use Only) CLERK  
U.S. HOUSE OF REPRESENTATIVES

**A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.**

**Filer  
Status**

Member of the U.S.  
House of Representative

State: FL  
District: 22

Officer Or  
Employee

Employing Office:

**Report  
Type**

Annual (May 15)

Amendment

Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|  |   |
|--|---|
| <p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>  | <p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>             |
| <p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>   | <p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p> |
| <p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>  | <p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>  |
| <p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>   | <p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>   |
| <p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p> <p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p> |   |

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|                     |   |   |
|---------------------|---|---|
| <b>Trusts--</b>     | Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| <b>Exemptions--</b> | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

**SCHEDULE I - EARNED INCOME**

Name Ronald J. Klein

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source  | Type        | Amount    |
|---|-------------|-----------|
| U. S. House of Representatives,<br>Washington, DC 20515 | Congressman | \$165,208 |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Ronald J. Klein

| <p align="center"><b>BLOCK A</b></p> <p align="center"><b>Asset and/or Income Source</b></p> <p><i>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</i></p> <p><i>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</i></p> <p><i>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</i></p> | <p align="center"><b>BLOCK B</b></p> <p align="center"><b>Year-End Value of Asset</b></p> <p><i>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</i></p> | <p align="center"><b>BLOCK C</b></p> <p align="center"><b>Type of Income</b></p> <p><i>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</i></p> | <p align="center"><b>BLOCK D</b></p> <p align="center"><b>Amount of Income</b></p> <p><i>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</i></p> | <p align="center"><b>BLOCK E</b></p> <p align="center"><b>Transaction</b></p> <p><i>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</i></p> |                              |
|---|---|---|--|---|------------------------------|
| <p>4345 Lincoln Way<br/>address of Real Estate:<br/>4345 Lincoln Way,<br/>Massilon, OH 44646<br/>Ownership: 5%</p>  | <p>\$15,001 -<br/>\$50,000</p>  | <p>Rent</p>   | <p>\$5,001 - \$15,000</p>  |   |                              |
| <p>SP</p>   | <p>Alpine International Real Estate<br/>Equity Fd</p>   | <p>\$1 - \$1,000</p>  | <p>None</p>  | <p>NONE</p>   |                              |
| <p>DC</p>   | <p>AMCAP Fund - 529A</p>  | <p>\$1,001 -<br/>\$15,000</p>   | <p>Capital Gains</p>   | <p>\$201 - \$1,000</p>  | <p>S(part),Reinv<br/>est</p> |
| <p>DC</p>   | <p>American Balanced Fund</p>   | <p>\$1,001 -<br/>\$15,000</p>   | <p>Dividends/<br/>Capital Gains</p>  | <p>\$201-\$1,000/ \$1-<br/>\$200</p>  | <p>S(part),Reinv<br/>est</p> |
| <p>JT</p>   | <p>Apple Inc</p>  | <p>\$1,001 -<br/>\$15,000</p>   | <p>None</p>  | <p>NONE</p>   |                              |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

|        |   |                     |                          |                       |              |
|--------|---|---------------------|--------------------------|-----------------------|--------------|
| JT     | Bank United Financial Corp-CL A                             | None                | None                     | NONE                  | PS           |
| SP, DC | Baron Select Fds  | \$1,001 - \$15,000  | Dividends/ Capital Gains | \$1-\$200/\$1 - \$200 |              |
| SP, JT | Bear Stearns Munifund                                       | None                | Dividends                | \$201 - \$1,000       | Transfer     |
| JT     | Bear Stearns Munifund/ BMPXX                                | None                | None                     | NONE                  | PS           |
| DC     | Bear Stearns Temp Fund                                      | None                | Dividends                | \$1 - \$200           | Transfer     |
| JT     | Berkshire Hathaway CL B                                     | \$15,001 - \$50,000 | None                     | NONE                  | P            |
| JT     | Blackrock Provident Instl                                   | None                | Dividends                | \$1 - \$200           | PS, Transfer |
| SP     | Boca Ladies Inv. Society Investment Club - Ownership: 7.69% |                     |                          |                       |              |

Assets include the following stocks valued at over \$1,000.00:  
 25 INVESTMENTS STARTING WITH "BOCA LADIES" BELOW:

|    |                                |                    |      |      |   |
|----|--------------------------------|--------------------|------|------|---|
| SP | BOCA LADIES: American Ecology  | \$1,001 - \$15,000 | None | NONE | P |
| SP | BOCA LADIES: Apple Inc         | \$1,001 - \$15,000 | None | NONE |   |
| SP | BOCA LADIES: Caterpillar Inc   | \$1,001 - \$15,000 | None | NONE |   |
| SP | BOCA LADIES: Cisco Systems Inc | \$1,001 - \$15,000 | None | NONE |   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |  |                       |               |                   |   |
|----|--|-----------------------|---------------|-------------------|---|
| SP | BOCA LADIES:<br>CSX Corp.                | \$1,001 -<br>\$15,000 | Dividends     | \$1 - \$200       | P |
| SP | BOCA LADIES:<br>Freeport McMoran Copper  | \$1,001 -<br>\$15,000 | None          | NONE              |   |
| SP | BOCA LADIES:<br>General Electric Company | \$1,001 -<br>\$15,000 | Dividends     | \$1 - \$200       | P |
| SP | BOCA LADIES:<br>Goldman Sachs Group Inc  | None                  | Capital Gains | \$2,501 - \$5,000 | S |
| SP | Boca Ladies:<br>Harsco Corp.             | \$1,001 -<br>\$15,000 | None          | NONE              | P |
| SP | BOCA LADIES:<br>L-3 Communications Hldgs | \$1,001 -<br>\$15,000 | Dividends     | \$1 - \$200       |   |
| SP | BOCA LADIES:<br>Monsanto Co.             | \$1,001 -<br>\$15,000 | None          | NONE              | P |
| SP | BOCA LADIES:<br>Newmont Mining Corp      | \$1,001 -<br>\$15,000 | Dividends     | \$1 - \$200       |   |
| SP | BOCA LADIES:<br>Patriot Coal Corp        | \$1 - \$1,000         | None          | NONE              | P |
| SP | BOCA LADIES:<br>Peabody Energy Corp      | \$1,001 -<br>\$15,000 | None          | NONE              |   |
| SP | BOCA LADIES:<br>Prologis REIT            | \$1 - \$1,000         | None          | NONE              | P |
| SP | BOCA LADIES:<br>Research in Motion       | \$1,001 -<br>\$15,000 | None          | NONE              |   |
| SP | BOCA LADIES:<br>Schein Henry Inc         | \$1,001 -<br>\$15,000 | None          | NONE              |   |
| SP | BOCA LADIES:<br>Sector Spdr Engy Select  | \$1,001 -<br>\$15,000 | Dividends     | \$1 - \$200       |   |

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|        |  |                        |           |                   |             |
|--------|--|------------------------|-----------|-------------------|-------------|
| SP     | BOCA LADIES:<br>Starwood Htls & Rsts New   | \$1,001 -<br>\$15,000  | None      | NONE              |             |
| SP     | BOCA LADIES:<br>Target Corporation   | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| SP     | BOCA LADIES:<br>Tetra Tech Inc.  | \$1,001 -<br>\$15,000  | None      | NONE              | P           |
| SP     | BOCA LADIES:<br>Teva Pharm Inds Ltd Adrf   | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| SP     | BOCA LADIES:<br>Vanguard Emerging Market   | \$1,001 -<br>\$15,000  | Dividends | \$201 - \$1,000   |             |
| SP     | BOCA LADIES:<br>Walgreen Company   | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| SP     | BOCA LADIES:<br>Allergan, Inc.   | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| SP     | BOCA LADIES: Schwab<br>Money Market Fund   | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| SP     | BOCA LADIES: Johnson &<br>Johnson  | \$1,001 -<br>\$15,000  | Dividends | \$1 - \$200       |             |
| DC     | Capital Income Builder   | \$1,001 -<br>\$15,000  | Dividends | \$201 - \$1,000   |             |
| DC     | Capital World Bond Fund  | \$1 - \$1,000          | Dividends | \$1 - \$200       |             |
| DC, JT | Capital World Growth &<br>Income Fund  | \$15,001 -<br>\$50,000 | Dividends | \$1,001 - \$2,500 | P, Reinvest |
|        | Celia Sperling Trust<br>Assets Held:<br>1. American Washington<br>Mutual Investors | None                   | None      | NONE              | Final       |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|                |  |                        |                             |                          |          |
|----------------|--|------------------------|-----------------------------|--------------------------|----------|
|                | Celia Sperling Trust<br>Assets Held:<br>2. Alliancebernstein Global<br>Govt Income Trust | None                   | None                        | NONE                     | Final    |
| DC, JT, S<br>P | Charles Schwab Money Market<br>Fund  | \$15,001 -<br>\$50,000 | None                        | NONE                     | Transfer |
| JT             | Chicago ILL G/O  | None                   | Interest                    | \$201 - \$1,000          | PS       |
| JT             | Claymore Bny Bric ETF  | None                   | None                        | NONE                     | S        |
| JT             | Claymore S & P Global Water  | \$1,001 -<br>\$15,000  | Dividends                   | \$201 - \$1,000          | P        |
| DC, JT         | Columbia Young Investor Fd-Z   | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains | \$1 - \$200/ \$1 - \$200 |          |
| JT             | CRM Mid Cap Value  | \$1,001 -<br>\$15,000  | Dividends                   | \$1 - \$200              |          |
| JT             | Esterline Tech Corp  | \$15,001 -<br>\$50,000 | None                        | NONE                     |          |
| JT             | Excelsior Funds Inc  | \$1 - \$1,000          | Dividends                   | \$1 - \$200              |          |
| JT             | Fairholme Funds Inc  | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains | \$1 - \$200/ \$1 - \$200 |          |
| JT             | Fed Farm Credit Bank   | None                   | Interest                    | \$1 - \$200              | S        |
| JT             | Fed Natl Mtg Assn  | \$1,001 -<br>\$15,000  | Interest                    | \$201 - \$1,000          |          |
| JT             | Gilead Sciences Inc.   | None                   | Short Term Gain             | \$201 - \$1,000          | PS       |

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|        |  |                     |                           |                          |                                 |
|--------|--|---------------------|---------------------------|--------------------------|---------------------------------|
| DC     | Goldman Sachs Group Inc                  | \$1 - \$1,000       | None                      | NONE                     |                                 |
| JT     | Heico Corp New                           | \$15,001 - \$50,000 | Dividends                 | \$1 - \$200              | P                               |
| JT     | Honeywell Intl Inc                       | \$1,001 - \$15,000  | Dividends, Long Term Gain | \$1 - \$200/ \$1 - \$200 | S(part)                         |
| JT     | Ingersoll Rand Co Ltd                    | \$1,001 - \$15,000  | None                      | NONE                     | S(Settlement date 1/6/09 )      |
| JT     | Intuitive Surgical Inc New               | \$1,001 - \$15,000  | None                      | NONE                     | P                               |
| JT, DC | Ishares Trust                            | \$15,001 - \$50,000 | Dividends                 | \$201 - \$1,000          | S(part)(Settlement date 1/6/09) |
| DC     | J. P. Morgan Chase CAP                   | None                | Short Term Gain           | \$201 - \$1,000          | PS                              |
| DC     | J.P. Morgan Tr 1 Prime Money Market Fund | \$1,001 - \$15,000  | Dividends                 | \$1 - \$200              | Transfer                        |
| JT     | Janus Orion Fund                         | \$1,001 - \$15,000  | Dividends                 | \$1 - \$200              | S(Settlement date 1/2/09)       |
| DC     | Janus Overseas Fund                      | \$1,001 - \$15,000  | Dividends/ Capital Gains  | \$1 - \$200/ \$1 - \$200 |                                 |
| JT     | Jennison Nat Res Rd Inc                  | \$1,001 - \$15,000  | Dividends/ Capital Gains  | \$1 - \$200/ \$1 - \$200 | S(part)                         |
| JT, DC | Julius Baer Invt Fds                     | \$1,001 - \$15,000  | Dividends                 | \$201 - \$1,000          | P                               |
| JT     | Kayne Anderson Energy                    | \$1,001 - \$15,000  | Dividends                 | \$1 - \$200              | P                               |
| JT, DC | Kinetics Mutual Fds Inc.                 | \$1,001 - \$15,000  | Dividends/ Capital Gains  | \$1 - \$200/ \$1 - \$200 | PS(part)                        |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|        |  |                        |                             |                                   |   |
|--------|--|------------------------|-----------------------------|-----------------------------------|---|
| JT     | Laudus Tr Rosenberg Intl   | \$1,001 -<br>\$15,000  | Dividends                   | \$201 - \$1,000                   |   |
|        | Mahzel 1325 Partners<br>Address of Real Estate:<br>1325 S. Congress Avenue,<br>Boynton Beach, FL 33426<br>Ownership: 25%   | None                   | Rent/<br>Capital Gain       | \$201-\$1000/\$50001-<br>\$100000 | S   |
| JT     | Market Vectors ETF TR  | \$1,001 -<br>\$15,000  | Dividends                   | \$1 - \$200                       | PS<br>(Settlement<br>date 1/6/09)                   |
| JT     | Marsico 21st Century Fund  | \$1,001 -<br>\$15,000  | Dividends                   | \$1 - \$200                       | PS<br>(Settlement<br>date 1/2/09)                   |
| JT     | Matthews China Fund  | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains | \$1 - \$200/ \$1001-<br>\$2500    | S(Settlement<br>date 1/2/09),<br>Reinvest           |
| JT     | Matthews Pacific Tiger Fund  | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gain  | \$1 - \$200/ \$1001-<br>\$2500    | S(Settlement<br>date<br>1/2/09),<br>Reinvest        |
| DC, JT | New Perspective Fund Inc   | \$15,001 -<br>\$50,000 | Dividends /<br>Capital Gain | \$201-\$1,000/<br>\$1001 - \$2500 | S(part)(Settle<br>ment date<br>1/2/09),Reinv<br>est |
|        | NLS Investment Holdings LLC<br>Ownership of a high-tech<br>accelerator company located in<br>Boca Raton, Florida by the<br>name of Cenetec Ventures<br>LLC.<br>Ownership: 2.5% (Final) | None                   | Capital Gain                | \$1,001 - \$2,500                 | Liquidation   |
|        | Pension Plan:<br>American Funds Bond Fund<br>Am A  | \$15,001 -<br>\$50,000 | None                        | NONE                              |   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

|        |  |                        |                               |                                 |  |
|--------|--|------------------------|-------------------------------|---------------------------------|--|
|        | Pension Plan:<br>American Funds Cash Mgmt Tr<br>A  | \$15,001 -<br>\$50,000 | Capital Gain                  | \$1 - \$200                     |  |
|        | Pension Plan:<br>American Funds Europacific A      | \$15,001 -<br>\$50,000 | None                          | NONE                            |  |
|        | Pension Plan:<br>American Funds Growth Fund A      | \$15,001 -<br>\$50,000 | None                          | NONE                            |  |
|        | Pension Plan:<br>American Funds Smallcap<br>Wrld A | \$15,001 -<br>\$50,000 | None                          | NONE                            |  |
|        | Pension Plan:<br>Ev Large Cap Value A              | \$15,001 -<br>\$50,000 | None                          | NONE                            |  |
| JT     | Perkins Discovery Fund                             | \$1,001 -<br>\$15,000  | Capital Gain                  | \$1 - \$200                     |  |
| JT     | Permanent Portfolio Fund                           | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains   | \$1 - \$200/ \$1-\$200          | P                                      |
| DC     | Phoenix Mid Cap                                    | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains   | \$1 - \$200/ \$1 - \$200        |  |
| SP     | Powershares QQQ Trust                              | \$1,001 -<br>\$15,000  | Dividends/ Short<br>term gain | \$1 - \$200/ \$1 - \$200        |  |
| JT     | Powershares Global Water                           | \$1,001 -<br>\$15,000  | Dividends                     | \$1 - \$200                     |  |
| JT, DC | Prof Managed Port Hodges                           | \$1,001 -<br>\$15,000  | Capital Gain                  | \$1 - \$200                     | S(part)(Settle<br>ment date<br>1/2/09) |
| JT     | Prologis   | \$1,001 -<br>\$15,000  | Dividends/<br>Capital Gains   | \$1 - \$200/ \$1-\$200          | PS<br>(Settlement<br>date 1/6/09)      |
| JT, DC | Proshares Trust                                    | \$1,001 -<br>\$15,000  | Dividends/ Short<br>term gain | \$1 - \$200/ \$5001-<br>\$15000 | PS(part)                               |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

|        |                             |                     |                             |                                  |         |
|--------|-----------------------------|---------------------|-----------------------------|----------------------------------|---------|
| JT     | Proshares Ultra S & P 500   | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                      | P       |
| JT     | Putnam Convertible Income   | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                      |         |
| JT     | Research in Motion Ltd      | \$1,001 - \$15,000  | None                        | NONE                             | P       |
| JT     | Rolls Royce Group PLC       | \$1,001 - \$15,000  | None                        | NONE                             | P       |
| JT     | Royce Fund                  | \$15,001 - \$50,000 | Dividends/<br>Capital Gains | \$1 - \$200/ \$201 - \$1000      | P       |
| JT     | RS Invt Tr                  | \$1,001 - \$15,000  | Dividends/<br>Capital Gains | \$1 - \$200/ \$1 - \$200         |         |
| DC     | S&P Depository Receipts     | \$1,001 - \$15,000  | Dividends                   | \$201 - \$1,000                  |         |
| SP     | S&P Depository Receipts     | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                      |         |
| JT     | S&P Depository Receipts     | None                | Dividends/ long term gain   | \$201 - \$1,000/ \$201 - \$1,000 | S       |
| JT     | T Rowe Price Intl           | \$1,001 - \$15,000  | Dividends                   | \$201 - \$1,000                  | S(part) |
| DC     | The Bond Fund of America    | \$1 - \$1,000       | Dividends                   | \$1 - \$200                      |         |
| DC     | The Growth Fund of America  | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                      |         |
| SP, DC | Vanguard Intl Equity Index  | \$1,001 - \$15,000  | Dividends                   | \$201 - \$1,000                  |         |
| DC     | Vanguard Windsor Funds Inc. | \$1,001 - \$15,000  | Dividends                   | \$1 - \$200                      |         |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |                          |      |      |      |   |
|----|--------------------------|------|------|------|---|
| JT | Wisdomtree Trust Pacific | None | None | NONE | S |
|----|--------------------------|------|------|------|---|

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                                | Type of<br>Transaction | Date  | Amount of Transaction              |
|------------------|--------------------------------------|------------------------|---|------------------------------------|
| JT               | Bank United Financial Corp - CL A    | P/S                    | 01-04-08/<br>01-25-08<br>02-01-08                                     | \$1,001 - \$15,000                 |
| JT               | Bear Stearns Munifund/BMPXX          | P/S                    | 05-08-08/<br>05-07-08<br>05-09-08<br>05-15-08                         | \$50,001 - \$100,000/<br>\$107,064 |
| JT               | Berkshire Hathaway CL B              | P                      | 01-07-08<br>01-22-08<br>03-07-08<br>03-17-08<br>04-01-08<br>04-15-08  | \$50,001 - \$100,000               |
| JT               | Blackrock Provident Instl            | P/S                    | 05-02-08<br>05-29-08/<br>05-28-08<br>06-03-08<br>06-16-08<br>06-27-08 | \$50,001 - \$100,000/<br>\$80,904  |
| SP               | BOCA LADIES:<br>American Ecology     | P                      | 08-07-08<br>09-04-08  | \$1,001 - \$15,000                 |
| SP               | BOCA LADIES:<br>CSX Corp.            | P                      | 07-03-08  | \$1,001 - \$15,000                 |
| SP               | BOCA LADIES:<br>General Electric Co. | P                      | 09-04-08<br>10-03-08  | \$1,001 - \$15,000                 |

SCHEDULE IV - TRANSACTIONS

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| SP,<br>DC,<br>JT | Asset                                     | Type of<br>Transaction | Date                  | Amount of Transaction |
|------------------|---|------------------------|-----------------------|-----------------------|
| SP               | BOCA LADIES:<br>Harsco Corp.              | P                      | 05-07-08<br>11-05-08  | \$1,001 - \$15,000    |
| SP               | BOCA LADIES:<br>Monsanto Co.              | P                      | 03-05-08              | \$1,001 - \$15,000    |
| SP               | BOCA LADIES:<br>Patriot Coal Corp         | P                      | 05-07-08              | \$1,001 - \$15,000    |
| SP               | BOCA LADIES:<br>Prologis REIT             | P                      | 05-07-08              | \$1,001 - \$15,000    |
| SP               | BOCA LADIES:<br>Tetra Tech Inc.           | P                      | 08-07-08<br>11-05-08  | \$1,001 - \$15,000    |
| SP               | BOCA LADIES;<br>Goldman Sachs Group, Inc. | S                      | 03-05-08              | \$15,001 - \$50,000   |
| JT               | Capital World Growth & Income Fund        | P                      | 01-08-08              | \$1,001 - \$15,000    |
| JT               | Chicago ILL                               | P/S                    | 03-13-08/<br>04-16-08 | \$15,001 - \$50,000   |
| JT               | Claymore Bny Bric ETF                     | S                      | 11-14-08              | \$1,001 - \$15,000    |
| JT               | Claymore S & P Global Water               | P                      | 01-02-08              | \$1,001 - \$15,000    |
| JT               | Federal Farm Credit Bank                  | S                      | 02-14-08              | \$1,001 - \$15,000    |

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

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| SP,<br>DC,<br>JT | Asset                       | Type of<br>Transaction | Date                                    | Amount of Transaction            |
|------------------|-----------------------------|------------------------|---|----------------------------------|
| JT               | Gilead Sciences Inc.        | P/S                    | 02-28-08/<br>02-28-08                   | \$15,001 - \$50,000              |
| JT               | Heico Corp New              | P                      | 04-18-08<br>06-09-08                    | \$50,001 - \$100,000             |
| JT               | Honeywell Intl Inc.         | S(part)                | 10-14-08                                | \$1,001 - \$15,000               |
| JT               | Ingersoll Rand Co. Ltd.     | S                      | 12-31-08<br>(Settlement date<br>1/6/09) | \$1,001 - \$15,000               |
| JT               | Intuitive Surgical Inc. New | P                      | 04-18-08                                | \$15,001 - \$50,000              |
| JT               | Ishares Trust               | S                      | 12-31-08<br>(Settlement date<br>1/6/09) | \$1,001 - \$15,000               |
| JT               | J. P. Morgan Chase CAP      | P/S                    | 05-07-08/<br>05-07-08                   | \$15,001 - \$50,000/<br>\$50,795 |
| JT               | Janus Orion Fund            | S                      | 12-31-08<br>(Settlement date<br>1/2/09) | \$1,001 - \$15,000               |
| JT               | Jennison Nat Res Rd Inc.    | S(part)                | 11-04-08                                | \$1,001 - \$15,000               |
| JT               | Julius Baer Invt FDS        | P                      | 01-16-08                                | \$1,001 - \$15,000               |

SCHEDULE IV - TRANSACTIONS

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| SP,<br>DC,<br>JT | Asset  | Type of<br>Transaction | Date   | Amount of Transaction        |
|------------------|--|------------------------|--|------------------------------|
| JT               | Kayne Anderson Energy  | P                      | 12-20-08   | \$1,001 - \$15,000           |
| JT               | Kinetics Mutual Fds Inc.   | P/S                    | 01-08-08/<br>11-04-08<br>12-31-08                                | \$1,001 - \$15,000           |
| JT               | Market Vector ETF TR   | P/S                    | 05-27-08/<br>12-31-08<br>(Settlement date<br>1/6/09)             | \$1,001 - \$15,000           |
| JT               | Marsico 21st Century Fund  | P/S                    | 01-16-08/<br>12-31-08<br>(Settlement date<br>1/2/09)             | \$1,001 - \$15,000/ \$12,110 |
|                  | Marzel 1325 Partners<br>Address of Real Estate:<br>1325 S. Congress Avenue,<br>Boynton Beach, FL 33426<br>Ownership: 25% | S                      | 01-18-08   | \$50,001 - \$100,000         |
| JT               | Matthews China Fund  | Reinvest,S             | 12-10-08/<br>11-04-08<br>12-31-08<br>(Settlement date<br>1/2/09) | \$1,001 - \$15,000           |
| JT               | Matthews Pacific Tiger Fund  | Reinvest,S             | 12-10-08/<br>11-04-08<br>12-31-08<br>(Settlement date<br>1/2/09) | \$1,001 - \$15,000           |

**SCHEDULE IV - TRANSACTIONS**

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| SP,<br>DC,<br>JT | Asset                    | Type of<br>Transaction | Date  | Amount of Transaction      |
|------------------|--------------------------|------------------------|---|----------------------------|
| JT               | New Perspective Fund     | Reinvest,S             | 12-26-08/<br>12-31-08<br>(Settlement date<br>1/2/09)  | \$1,001 - \$15,000         |
| JT               | Permanent Portfolio Fund | P                      | 01-09-08<br>01-16-08  | \$1,001 - \$15,000         |
| JT               | Prof Managed Port Hodges | S(part)                | 12-31-08<br>(Settlement date<br>1/2/09)   | \$1,001 - \$15,000         |
| JT               | Prologis                 | P/S                    | 05-27-08/<br>12-31-08<br>(Settlement date<br>1/6/09)  | \$1,001 - \$15,000/\$2,628 |
| JT               | Proshares Trust          | P/S                    | 07-01-08<br>07-18-08<br>08-28-08<br>09-08-08<br>10-06-08/<br>07-22-08<br>08-13-08<br>08-25-08<br>09-04-08<br>09-09-08<br>10-06-08<br>12-31-08 | \$512,446/ \$514,402       |

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

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| SP,<br>DC,<br>JT | Asset                     | Type of<br>Transaction | Date  | Amount of Transaction               |
|------------------|---------------------------|------------------------|---|-------------------------------------|
| JT               | Proshares Trust           | P/S                    | 01-08-08<br>01-09-08<br>01-10-08<br>04-11-08<br>04-18-08<br>05-02-08<br>05-06-08/<br>01-02-08<br>03-13-08<br>04-24-08<br>05-05-08<br>05-06-08 | \$250,001 - \$500,000/<br>\$353,448 |
| JT               | Proshares Ultra S & P 500 | P                      | 12-22-08  | \$1,001 - \$15,000                  |
| JT               | Research In Motion        | P                      | 10-06-08  | \$1,001 - \$15,000                  |
| JT               | Rolls Royce Group PLC     | P                      | 03-03-08  | \$15,001 - \$50,000                 |
| JT               | Royce Fund                | P                      | 01-16-08  | \$1,001 - \$15,000                  |
| JT               | S & P Depository Receipts | S                      | 10-14-08  | \$15,001 - \$50,000                 |
| JT               | T. Rowe Price Intl        | S                      | 11-04-08  | \$1,001 - \$15,000                  |
| JT               | Windomtree Trust Pacific  | S                      | 10-14-08  | \$15,001 - \$50,000                 |

**SCHEDULE IV - TRANSACTIONS**

Name Ronald J. Klein

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| SP,<br>DC,<br>JT | Asset | Type of<br>Transaction | Date | Amount of Transaction |
|------------------|-------|------------------------|------|-----------------------|
|------------------|-------|------------------------|------|-----------------------|

# SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date     | Parties To                                    | Terms of Agreement   |
|----------|---|--|
| 4-1-2004 | Shareholders, Directors of Sachs & Sax, P. A. | Buy Out - Annually payment starting 1-1-2007 for five (5) years. \$120,000 paid in 2008. |