

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 13  
For use by Members, officers, and employees

MC

Frank Pallone, Jr  
(Full Name)

202-225-4671  
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER  
2009 MAY 15 PM 1:49  
(Office Use Only)

Filer Status:  Member of the U.S. House of Representative State: NJ District 6  
 Officer Or Employee  
Employing Office: \_\_\_\_\_  
Termination Date: \_\_\_\_\_  
Report Type:  Annual (May 15)  Amendment  Termination

U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTION

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes  No   
If yes, complete and attach Schedule I.  
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes  No   
If yes, complete and attach Schedule II.  
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes  No   
If yes, complete and attach Schedule III.  
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes  No   
If yes, complete and attach Schedule IV.  
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes  No   
If yes, complete and attach Schedule V.  
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes  No   
If yes, complete and attach Schedule VI.  
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes  No   
If yes, complete and attach Schedule VII.  
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes  No   
If yes, complete and attach Schedule VIII.  
IX. Did you have any reportable agreement or arrangement with an outside entity? Yes  No   
If yes, complete and attach Schedule IX.  
Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes  No   
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct. Yes  No

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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<p align="center"><b>BLOCK A</b></p> <p align="center"><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left</p>	<p align="center"><b>BLOCK B</b></p> <p align="center"><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p align="center"><b>BLOCK C</b></p> <p align="center"><b>Type of Income</b></p> <p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and Interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p align="center"><b>BLOCK D</b></p> <p align="center"><b>Amount of Income</b></p> <p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p align="center"><b>BLOCK E</b></p> <p align="center"><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
SP      Aberdeen Small Cap IRA (formerly Nationwide (Gartmore) Fund - IRA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC      Am Cent Growth	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP      Am. Cent. Vista Fund	\$1,001 - \$15,000	None	NONE	P
DC      Artio Int'l (formerly Julius Baer Int'l Fund)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP      Artio International - IRA (formerly Julius Baer Fund - IRA)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
SP      Baron Partners Fund - IRA	None	None	NONE	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Blackrock Int'l Opp Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Blackrock Value Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Bridgeway Aggressive Fund	None	None	NONE	S
SP	Bridgeway Fund - IRA	None	None	NONE	S
DC	Bridgeway Ultra Small Fund	None	None	NONE	S
SP	Cambier Oppty Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Cisco Systems Stock	\$1 - \$1,000	None	NONE	
DC	Columbia Mid Cap	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	CRM Mid Cap Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Diamond Hill Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Dodge and Cox Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	PS(part)
SP	Dodge and Cox Fund - IRA	None	None	NONE	S
DC	DWS Core Fixed Inc Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	EV Boston Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Evergreen Fund - Roth IRA	\$1,001 - \$15,000	None	NONE	
	Evergreen Growth - Roth IRA	\$1,001 - \$15,000	None	NONE	
DC	Fidelity Short Fixed Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
DC	FPA Cap Fund	None	None	NONE	S
	Franklin Dynatech - Roth IRA	\$1,001 - \$15,000	None	NONE	
SP	Franklin Dynatech Fund - Roth IRA	\$1,001 - \$15,000	None	NONE	
SP	GE Stock - IRA	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SP	Goldman Money Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	PS(part)
DC	Goldman Sachs MMF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	Hancock Classic Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Harbor Cap App Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Harbor Int'l Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Harbor Int'l Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Harbor Small Co Fund	\$1,001 - \$15,000	None	NONE	P

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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SP	Hartford Int'l Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Hotchkiss & Wiley Fund	None	None	NONE	S
SP	Hotchkiss & Wiley Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Janus MidCap Fund - IRA	None	None	NONE	S
SP	Keeley Sm Cap Fund - IRA	None	None	NONE	S
SP	Kinetics Small Cap Fund - IRA	\$1,001 - \$15,000	None	NONE	
SP	Lauder Estee Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Legg Mason Agg Growth fund	\$1,001 - \$15,000	None	NONE	P
SP	Legg Mason Value TR Fund	\$1,001 - \$15,000	None	NONE	P
SP	Managers Time SQ MD Cap Fund	\$1,001 - \$15,000	None	NONE	P
DC	Munder Mid Cap Fund	\$1,001 - \$15,000	None	NONE	P
SP	MunderSmall Cap Fund - IRA	None	None	NONE	S
SP	Neuberger Berman Small Cap Fund	\$1,001 - \$15,000	None	NONE	P
JT	Nokia Corp Stock	None	DIVIDENDS	\$1 - \$200	S

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DC	Nuveen Inv Multicap Fd	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Nuveen Tradewinds Funds - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Nuveen Tradewinds Inv Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Oppen M/S Small Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Pheonix Cos. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Pimco Total Ret Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	S(part)
SP	Pioneer Cullen Fund- IRA	None	None	NONE	S
DC	Pioneer Cullen Value	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	Pioneer Oaks S/C GR Fund	\$1,001 - \$15,000	None	NONE	P
SP	RS Emg Mkts Fund	\$1,001 - \$15,000	None	NONE	P
DC	RS Global Nat Res Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
SP	RS Inv Trust Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Satuit Cap Micro Cap Fund	\$1,001 - \$15,000	None	NONE	P
SP	Security Mid Cap Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P

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SP	Sun America Focus Fund - IRA	None	None	NONE	S
SP	Texas Inst Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	TIAA Cref Inst'l Equity Fundy	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Touchstone Inst Fund- IRA	\$1,001 - \$15,000	None	NONE	
SP	Unilever PLC Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Victory Small Co Oppty Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
SP	Walt Disney Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
SP	Wells Fargo Fund - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DC	Western Assest Core Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
SP	Managers Time SQ MD Cap Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Am. Cent. Vista Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Blackrock Int'l Opp Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Cambier Oppty Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Hancock Classic Value Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Harbor Int'l Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Harbor Small Co Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Hartford Int'l Growth Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Legg Mason Value TR Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Neuberger Berman Small Cap Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	RS Emg Mkts Fund - IRA	P	08/25/08	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
SP	Security Mid Cap Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	Satuit Cap Micro Cap Fund - IRA	P	08/25/08	\$1,001 - \$15,000
SP	TIAA Cref Inst'l Equity Fundy - IRA	P	08/25/08	\$1,001 - \$15,000
DC	Am Cent Growth	P	07/23/08	\$1,001 - \$15,000
DC	Columbia Mid Cap	P	07/23/08	\$1,001 - \$15,000
DC	DWS Core Fixed Inc Fund	P	07/23/08	\$1,001 - \$15,000
DC	EV Boston Income Fund	P	07/23/08	\$1,001 - \$15,000
DC	Fidelity Short Fixed Fund	P	07/23/08	\$15,001 - \$50,000
DC	Harbor Cap App Fund	P	07/22/08	\$1,001 - \$15,000
DC	Harbor Int'l Fund	P	07/22/08	\$1,001 - \$15,000
DC	Legg Mason Agg Growth fund	P	07/22/08	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
DC	Munder Mid Cap Fund	P	07/22/08	\$1,001 - \$15,000
DC	Oppen M/S Small Cap Fund	P	07/22/08	\$1,001 - \$15,000
DC	Pioneer Cullen Value	P	07/22/08	\$1,001 - \$15,000
DC	Pioneer Oaks S/C GR Fund	P	07/22/08	\$1,001 - \$15,000
DC	Victory Small Co Oppty Fund	P	07/22/08	\$1,001 - \$15,000
JT	Nokia Corp Stock	S	6/9/08	\$1,001 - \$15,000
SP	Baron Partners Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Bridgeway Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Dodge and Cox Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Janus MidCap Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Keeley Sm Cap Fund - IRA	S	8/22/08	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
SP	Munder Small Cap Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Pioneer Cullen Fund - IRA	S	8/22/08	\$1,001 - \$15,000
SP	Sun America Focus Fund - IRA	S	8/22/08	\$1,001 - \$15,000
DC	Bridgeway Ultra Small Fund	S	7/22/08	\$1,001 - \$15,000
DC	Bridgeway Aggressive Fund	S	7/22/08	\$1,001 - \$15,000
DC	FPA Cap Fund	S	7/22/08	\$1,001 - \$15,000
DC	Hotchkiss & Wiley Fund	S	7/22/08	\$1,001 - \$15,000
DC	Nuveen Tradewinds Inv Fund	P	12/17/08	\$1,001 - \$15,000
DC	RS Global Nat Res Fund	S(part)	7/22/08	\$1,001 - \$15,000
DC	Pimco Total Ret Fund	S(part)	6/2/08; 7/22/08	\$15,001 - \$50,000
DC	Artio Int'l (formerly Julius Baer Int'l Fund	PS(part)	2/2/08; 4/10/08	\$1,001 - \$15,000

**SCHEDULE IV - TRANSACTIONS**

Name Frank Pallone, Jr

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
DC	Dodge & Cox Fund	PS(part)	1/2/08; 7/22/08	\$1,001 - \$15,000

**SCHEDULE V - LIABILITIES**

Name Frank Pallone, Jr

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Federal Credit Union	Personal Loan	\$15,001 - \$50,000
SP	Mastercard	Revolving Charge Acct	\$10,001 - \$15,000