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March 17, 2009

**BY HAND DELIVERY**

Ms. Nancy Erickson  
Secretary of the Senate  
Office of Public Records  
Hart Senate Office Building  
Room 232  
Washington, DC 20510

**RE: President Barack H. Obama**

Dear Madam Secretary:

Enclosed is the termination report filed by President Obama, closing out his personal financial reporting to the United States Senate, from which he resigned on November 16, 2008.

While the President will be providing the same disclosure again in May, as President, he is supplying this additional filing to complete the Senate record.

Please let me know if you have any questions.

Very truly yours,

Robert F. Bauer  
Counsel to President Barack H. Obama

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# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name <b>Obama</b>	First Name and Middle Initial <b>Barack H.</b>	Annual Report Calendar Year Covered by Report: <b>01/1 - 11/16/2008</b>	Senate Office / Agency in Which Employed <b>U.S. Senator, Illinois</b>
Senate Office Address (Number, Street, City, State, and ZIP Code) <b>N/A</b>	Senate Office Telephone Number (include Area Code) <b>N/A</b>	Termination Report Termination Date (mm/dd/yyyy): <b>11/16/2008</b>	Prev Office / Agency in Which Employed <b>N/A</b>

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA, and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$335 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: 

Date (Month, Day, Year): **3/17/09**

For Official Use Only - Do Not Write Below This Line

Signature of Reviewing Official: \_\_\_\_\_

Date (Month, Day, Year): \_\_\_\_\_

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Do Not Write Below This Line

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**PART II. EARNED AND NON-INVESTMENT INCOME**

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and for your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

1	Name of Income Source		Address (City, State)	Type of Income		Amount
	Example:			Example	Example	
	JP Computers		Wash., DC	Salary		\$15,000
	MCI (Spouse)		Arlington, VA	Salary		Over \$1,000
1	University of Chicago Hospital (S)		Chicago, IL	Salary		over \$1,000
2	Random House (VIA Dystel & Goderich net); Dreams From My Father		New York, NY	Book Royalties		\$949,910.00
3	Random House ( The Audacity of Hope)		New York, NY	Book Royalties		\$1, 512, 933.00
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Reporting Individual's Name

Barack Obama

**PART IX. AGREEMENTS OR ARRANGEMENTS**

Page Number

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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	<i>Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i> <i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options</i>	Jones & Smith, Hometown, USA  XYZ Co., Bethesda, MD	1 / 93  1 / 0X
1	See Attachment		
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ATTACHMENT TO PART IX (AGREEMENTS OR ARRANGEMENTS)

On January 9, 2009, Barack Obama executed an amendment to his previously disclosed, December 2004 agreement with the Crown Publishing Group. Under this agreement, a non-fiction work, the subject to be determined, would not be delivered during his term of office and the schedule for any future book publications would be accordingly revised.

On January 15, 2009, Barack Obama approved a \$500,000 advance against royalties under an agreement between Crown Publishing Group, a division of Random House, Inc., and Random House Children's Books, for an abridged version of *Dreams From My Father* suitable for middle grade or young adult readers, as proposed by the publisher in 2008. Royalties for the book are: 15% of the U.S. sales price for hardcover book sales; 7.5% to 10% of the U.S. price for trade paperback book sales, 10 % of the U.S. price for the mass-market paperback book sales, and other standard royalties.

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